

How Do I Report an NCAA or Big 12 Conference Violation?

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How Do I Report Violation?

- “CU-Boulder” **must diligently monitor** the conduct of its intercollegiate athletics program to promote compliance with all applicable rules and regulations of the NCAA.
- CU-Boulder **follows an established reporting procedure for reviewing and reporting potential rules violations.** In addition to this established reporting procedure, CU-Boulder has developed a **clearly-defined process to manage situations with major implications** involving campus intercollegiate athletics, including NCAA major infractions and other matters of significance.
- The process for managing NCAA violations **includes an option of utilizing a committee external to the Department of Intercollegiate Athletics to manage the investigation of situations with major campus implications and to advise directly the Chancellor.** That committee is **David Clough, John Sleeman, Julie Wong, Michael Warden and depending on the issue, others as appointed by the Chancellor.**

How Do I Report Violation?

- A **secondary violation**, is one that **provides only a limited recruiting or competitive advantage and is isolated or inadvertent in nature**. These are classified as Level I and Level II, with Level I generally considered more significant than Level II. If the NCAA Committee on Infractions **determines that repeated secondary violations have occurred and that CU is not taking appropriate action to prevent such violations, a penalty appropriate for a major violation may be imposed**.
- Compliance efforts are continuous, and, although the ultimate goal is to have no violations, **a compliance program that encourages self-reporting and conducts monitoring activities *should* report several violations (we reported 16 this past year) per year**.

What is a Major Infraction?

- A major violation **that provide an extensive recruiting or competitive advantage**.
Repeated secondary violations by CU may be identified as a major violation.
- In the history of intercollegiate athletics at CU-Boulder, the University has managed **five major** infractions.

Initial Reporting of Potential Level I and Level II Secondary Violations

- All athletic department staff members, SAs, or CU employees with direct involvement in athletics **are obligated** to report verbally or in writing any violations or possible violations of which they are aware.
- All other individuals (e.g., students, PSAs, CU employees without direct involvement in athletics, members of the community) **are encouraged** to report verbally or in writing any alleged, rumored, or suspected violations.

Initial Reporting of Potential Level I and Level II Secondary Violations

A report of a violation or possible violation can be made to the following:

- if appropriate, the immediate supervisor of the person reporting;
- the Associate Director of Athletics for Compliance ("Compliance Director");
- the Director of Athletics, any Associate or Assistant Director of Athletics;
- the Faculty Athletics Representative ("FAR");
- the Chancellor;
- the Office of University Counsel.
- All individuals to whom the violation or possible violation is reported, with the exception of the Compliance Director, are obligated in turn to report the violation to the Athletics Compliance Office.

Initial Reporting of Potential Level I and Level II Secondary Violations

A report of a violation or possible violation should include the following:

- date(s) and time(s) of violation;
- individual(s) involved in the violation;
- description of the violation;
- reason(s) the violation occurred, and
- if applicable, a statement of actions which have been or will be taken in order to prevent a similar violation from recurring

Questions?

